Incentives for Data Producers to Create Archive/Ready Data: Implications for Archives and Records Management

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Why are Incentives for Data Producers Important?

☐ Archivists expect/require data producers to provide
  - ■ Data
  - ■ Metadata
  - ■ Documentation

sufficient for

☐ Validation of accessions

☐ Preparing data for long-term preservation

☐ Appropriate release or dissemination

'Archive-Ready' Data

- ☐ 'Archive-Ready' = Content and metadata sufficient for archivists to prepare the data for long-term preservation and dissemination
- ☐ In OAIS terminology: The Submission Information Package is complete and accurate enough to produce an Archival Information Package without recourse to the data producer
- ☐ 'Dissemination-Ready' requires additional effort

Compliance and Incentive Issues

- ☐ Data producers are unaware of recordkeeping, archival and data deposit requirements

- ☐ Data producers do not consider archival requirements until projects are completed

- ☐ Permanent records and data are not transferred to archives

- ☐ Submissions are incomplete

Sources: SRA International Study for NARA (2001), Cohasset, ARMA, AIM Records Management Surveys (1999-2007), University of Maryland Study (2005).

Data Producer Study

- ☐ "Best Case" Scenario
  - ■ Social Science researchers required to deposit data for federal funding
  - ■ Designated Repository: National Archive of Criminal Justice Data at ICPSR
  - ■ Guidelines and training available to assist grantees
  - ■ Grantees are expected to deposit data at the completion of their funded projects

Deposit and Processing Delays

	Mean	Median	Min	Max
Deposit Delay (days)	767	664	-27	2630
Processing Delay (days)	355	376	20	1187
Total Delay (days)	1160	1122	263	2846

Reasons for Delays

- Grantees do not submit data at end of the grant
- Archivists require additional information/data from data producers
- Data producers review and approve revisions

Processing Time (in hours)

	Mean	Median	Min	Max
Processing time (hours)	79	60	8.5	359

Data Producers' Attitudes toward Depositing Data (n=54)

- 51 grantees (94.4%) were aware of the deposit requirement
- Attitude toward deposit requirement:
  - Very favorable (34%)
  - Favorable (31%)
  - Neutral (24%)
  - Unfavorable (11%)
- 57% would deposit their data even if they were not required to do so

Obstacles to Deposit

- ☐ Would like to publish more papers before releasing data (44%)
- ☐ Confidentiality (35%)
- ☐ Loss of control over the data (31%)
- ☐ Loss of exclusive use of the data (28%)
- ☐ Costs of preparing data (20%)

Incentives: I would be more likely to deposit my data if:

I thought the data would really benefit others	34	65.4%
If deposit were mandatory to receive new funding from NIJ	26	50.0%
If the data and documentation counted as a publication	19	36.5%
If citations to the data counted like citations to publications	17	32.7%
If depositing data was a requirement for publishing a paper	14	26.9%
If I received monetary compensation	8	15.4%

Incentives

☐ Extrinsic Incentives

- ◼ Material rewards (payments, promotions)
- ◼ Moral rewards (praise, public commendation, reputation)
- ◼ Coercive laws and policies (avoidance of punishment

☐ Intrinsic Incentives

- ◼ Enjoyment
- ◼ Contributing to a common good
- ◼ Sense of fulfilling an obligation or responsibility to a group or society

Incentives for Social Science Researchers

- ☐ Emphasize intrinsic incentives
- ☐ Inform data producers of when and how their data are used.
- ☐ Make depositing the norm, not the exception
- ☐ Permit limited embargo of data between deposit and release

Implications for Archives and Records Management

- ☐ Large investments are being made in repositories with less attention to producer/archive relationships and processes
- ☐ Heavy reliance on extrinsic incentives (laws, policies, guidelines)
- ☐ Explore intrinsic incentives
  - ■ Resonate with social and cultural values in the public sector, academia, and not-for-profits
  - ■ Effective intrinsic rewards do not require additional intervention

Thank you